

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8/
\$ Call/2
1-31-02
RECEIVED
JAN 31 2002
Technology Center 2100

In re patent application of:)
Thomas J. Foth, et al) Attorney Docket No.: E-974
Serial No.: n/a) Group Art Unit: n/a
Filed: Concurrently herewith) Date: December 29, 1999
For: METHOD AND APPARATUS FOR PROVIDING ELECTRONIC
REFUNDS IN AN ONLINE PAYMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

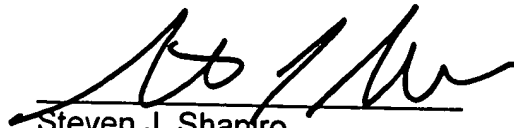
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'S. Shapiro', written over a horizontal line.

Steven J. Shapiro
Reg. No. 35,677
Attorney of Record
Telephone (203) 924-3880

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references



RECEIVED
JAN 31 2002
Technology Center 2100

Honorable Commissioner of Patents & Trademarks
Washington, D.C. 20231

09/474492

RECEIVED
PITNEY BOWES

E-974
SJS/asa
12/29/99

Sim 12/29/99
I acknowledge receipt of the following:

INTELLECTUAL PROPERTY &
TECHNOLOGY LAW DEPT.

Patent Application Entitled METHOD AND APPARATUS FOR PROVIDING ELECTRONIC REFUNDS IN AN ONLINE PAYMENT SYSTEM; Inventor; Thomas J. Foth et al, 27 pages; 6 pages of drawings, 12 claims, and a Declaration and Power of Attorney. Also enclosed are: an Assignment, a Recordation of Assignment, plus one copy; an Information Disclosure Statement, plus one copy of each reference; a Letter of Transmittal authorizing Deposit Account charge, plus one copy; and a Certificate of Mailing by Express Mail.

by placing your receiving date stamp hereon and mailing.
Thank you